#### **HBOT RESEARCH AND SCIENCE**

# MOST RECENT RESEARCH

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- [2] Hadanny, A., Hachmo, Y., Rozali, D. et al. Effects of Hyperbaric Oxygen Therapy on Mitochondrial Respiration and Physical Performance in Middle-Aged Athletes: A Blinded, Randomized Controlled Trial. Sports Med Open 8, 22 (2022). https://doi.org/10.1186/s40798-021-00403-w
- [3] Doenyas-Barak K, Catalogna M, Kutz I, Levi G, Hadanny A, Tal S, et al. (2022) Hyperbaric oxygen therapy improves symptoms, brain's microstructure and functionality in veterans with treatment resistant post-traumatic stress disorder: A prospective, randomized, controlled trial. PLoS ONE 17(2): e0264161. https://doi.org/10.1371/journal.pone.0264161
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- [14] Deng Z, Chen W, Jin J, Zhao J, Xu H. The neuroprotection effect of oxygen therapy: A systematic review and meta-analysis. Niger J Clin Pract. 2018 Apr;21(4):401-416.
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- [17] Tal S, Hadanny A, Sasson E, Suzin G and Efrati S (2017) Hyperbaric Oxygen Therapy Can Induce Angiogenesis and Regeneration of Nerve Fibers in Traumatic Brain Injury Patients. Front. Hum. Neurosci. 11:508. doi: 10.3389/fnhum.2017.00508 http://bit.ly/2l834LT
- [18] HBOT2017, 11<sup>th</sup> International Symposium. *TBI: No Need to Die! A review of HBOT in Acute Severe Traumatic Brain Injury with an Extension to Acute Concussion, and an Update on Chronic Mild TBI.* Paul G. Harch, M.D. <a href="http://bit.ly/2x4tWUf">http://bit.ly/2x4tWUf</a>
- [19] HBOT2017, 11<sup>th</sup> International Symposium. *Acute Concussion Diagnostics and Treatment: HBOT for Subacute Traumatic Encephalitis Untied with Transcranial Doppler Vascular Lab of the Brain*. Daphne W Denham, M.D. <a href="https://tinyurl.com/ybldktqn">https://tinyurl.com/ybldktqn</a>
- [20] A randomized trial of hyperbaric oxygen in U.S. Service Members with post-concussive symptoms [BIMA]. Weaver et al. 2017. <a href="http://bit.ly/2x6d2EN">http://bit.ly/2x6d2EN</a>

[21] E.G. Wolf, *Traumatic Brain Injury and Hyperbaric Oxygen Therapy: Dawn of a New Day.* Presented at APWCA 16<sup>th</sup> Annual National Clinical Conference, 7-9 Sep 17. http://bit.ly/2x8WDiT

- [22] Harch PG, Fogarty EF. Subacute normobaric oxygen and hyperbaric oxygen therapy in drowning, reversal of brain volume loss: a case report. *Med Gas Res 2017;7:144-9* http://bit.ly/2yCfZdq
- [23] Xavier A. Figueroa, PhD and James K. Wright, MD (Col Ret), USAF *Hyperbaric Oxygen: B-Level Evidence in Mild Traumatic Brain Injury Clinical Trials*. Neurology® 2016;87:1–7 "There is sufficient evidence for the safety and preliminary efficacy data from clinical studies to support the use of HBOT in mild traumatic brain injury/ persistent post concussive syndrome (mTBI/PPCS). The reported positive outcomes and the durability of those outcomes has been demonstrated at 6 months post HBOT treatment. Given the current policy by Tricare and the VA to allow physicians to prescribe drugs or therapies in an off-label manner for mTBI/PPCS management and reimburse for the treatment, it is past time that HBOT be given the same opportunity. This is now an issue of policy modification and reimbursement, not an issue of scientific proof or preliminary clinical efficacy." http://bit.ly/2xEZSz9
- [24] DJ Eve, MR Steele, PR Sanberg, Cesar V Borlongan. Hyperbaric oxygen therapy as a potential treatment for post-traumatic stress disorder associated with traumatic brain injury. Neuropsychiatric Disease and Treatment 2016:12 2689–2705. "A proportion of the returning soldiers also suffer from post-traumatic stress disorder (PTSD), and in some cases, this may be a consequence of TBI. . . . a possible therapeutic candidate is hyperbaric oxygen therapy (HBOT). Some clinical trials have been performed which suggest benefits with regard to survival and disease severity of TBI and/or PTSD. . . . . HBOT has been shown to reduce apoptosis, upregulate growth factors, promote antioxidant levels, and inhibit inflammatory cytokines in animal models, and hence, it is likely that HBOT could be advantageous in treating at least the secondary phase of TBI and PTSD."
- [25] Amir Hadanny & Shai Efrati (2016): Treatment of persistent post-concussion syndrome due to mild traumatic brain injury: current status and future directions, *Expert Review of Neurotherapeutics*, DOI: 10.1080/14737175.2016.1205487. "Persistent post-concussion syndrome caused by mild traumatic brain injury has become a major cause of morbidity and poor quality of life. Unlike the acute care of concussion, there is no consensus for treatment of chronic symptoms. Moreover, most of the pharmacologic and non-pharmacologic treatments have failed to demonstrate significant efficacy on both the clinical symptoms as well as the pathophysiologic cascade responsible for the permanent brain injury. This article reviews the pathophysiology of PCS, the diagnostic tools and criteria, the current available treatments including pharmacotherapy and different cognitive rehabilitation programs, and promising new treatment directions. *A most promising new direction is the use of hyperbaric oxygen therapy, which targets the basic pathological processes responsible for post-concussion symptoms; it is discussed here in depth."*

[26] Wang F, et al. *Hyperbaric oxygen therapy for the treatment of traumatic brain injury: a meta-analysis.* Neurol Sci. 2016 Jan 8. PubMed PMID: 26746238. "Compelling evidence suggests the advantage of hyperbaric oxygen therapy (HBOT) in traumatic brain injury. ...Patients undergoing hyperbaric therapy achieved significant improvement....with a lower overall mortality, suggesting its utility as a standard intensive care regimen in traumatic brain injury."

[27] E.G. Wolf, L.M. Baugh, C.M.S. Kabban, et al. *Cognitive function in a traumatic brain injury hyperbaric oxygen randomized trial.* UHM 2015, Vol. 42, No. 4, 2015. Dr. Wolf is a principal coauthor of the first Army study. This recent USAF paper reanalyzing the data in the cornerstone DOD/VA/Army study concludes: "This pilot study demonstrated no obvious harm [and] both groups showed improvement in scores and thus a benefit. Subgroup analysis of cognitive changes and PCL-M results regarding PTSD demonstrated a relative risk of improvement . . . . There is a potential gain and no potential loss. The VA/Clinical Practice Guidelines define a "B evidence rating" as "a recommendation that clinicians provide (the service) to eligible patients. *At least fair evidence was found that the intervention improves health outcomes and concludes that benefits outweigh harm*. . . . [emphasis added] Hyperbaric oxygen therapy for mild traumatic brain injury and PTSD should be considered a legitimate adjunct therapy if future studies demonstrate similar findings or show comparable improvement to standard-of-care or research-related treatment modalities." [NOTE: subsequent worldwide studies already published and those underway show comparable improvements.] *http://bit.ly/2faBldN* 

[28] Leila H Eadie (editorial). New technology and potential for telemedicine in battlefield brain injury diagnostics. Concussion (2016) 1(4), CNC22. "In severe cases, [TBI] injury occurs due to bleeding and inflammation, having several different effects: contact with blood causes brain tissue to swell (cerebral edema), and pooled blood within the confines of the skull also puts pressure on nearby tissue, constricting blood flow and depriving the brain of oxygen, killing neurons and leading to a chemical cascade that reinforces the injury. . . . People suffering from TBI can deteriorate suddenly and die, and in some cases swift treatment can help reduce mortality. Others will have minor initial symptoms, yet untreated brain hemorrhage can have insidious long-term effects. The etiology of postconcussive syndrome is debated, but may be caused by diffuse axonal injury or persistent metabolic alterations resulting in neuronal dysfunction and develops in 38–80% of patients with TBI...."

[29] Christine L. Mac Donald, Jason Barber, Mary Jordan, Ann M. Johnson, Sureyya Dikmen, Jesse R. Fann, Nancy Temkin. Early Clinical Predictors of 5-Year Outcome After Concussive Blast Traumatic Brain Injury. *JAMA Neurology*, 2017; DOI: 10.1001/jamaneurol.2017.0143 "Together these findings indicate progression of symptom severity beyond one year after injury . . . . We believe that by being informed from longitudinal studies such as this one, the medical community can be proactive in combating the potentially negative and extremely costly effect of these wartime injuries."

[30] Daniel Nicoara, Raymond M. Quock et al. Hyperbaric oxygen treatment suppresses withdrawal signs in morphine-dependent mice. Brain Research, 2016; 1648:434 DOI:10.1016/j.brainres.2016.08.017 Groundbreaking research from Washington State

University found that hyperbaric oxygen treatment (HBOT) can halve the pain and symptoms of opiate withdrawal/detox.

# 1. Other Peer-reviewed published articles

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- [b] Malek M, et al. Hyperbaric oxygen and hyperbaric air treatment result in comparable neuronal death reduction and improved behavioral outcome after transient forebrain ischemia in the gerbil. *Experimental Brain Research Experimentelle Hirnforschung Experimentation Cerebrale*. 2013;224(1):1-14. doi:10.1007/s00221-012-3283-5.
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- [e] Hardy P, Johnston KM, De Beaumont L, Montgomery DL, Lecomte JM, Soucy JP, et al. Pilot case study of the therapeutic potential of hyperbaric oxygen therapy on chronic brain injury. J Neurol Sci. 2007;253(1-2):94-105. <a href="http://www.ncbi.nlm.nih.gov/pubmed/17234213">http://www.ncbi.nlm.nih.gov/pubmed/17234213</a>
- [f] Lin JW, Tsai JT, Lee LM, Lin CM, Hung CC, Hung KS, et al. Effect of hyperbaric oxygen on patients with traumatic brain injury. Acta Neurochir Suppl. 2008;101:145-9. <a href="http://www.researchgate.net/publication/51416688">http://www.researchgate.net/publication/51416688</a> Effect of hyperbaric oxygen on patient s with traumatic brain injury injury
- [g] Wright JK, Zant E, Groom K, Schlegel RE, Gilliland K. Case report: Treatment of mild traumatic brain injury with hyperbaric oxygen. Undersea Hyperb Med. 2009; 36(6):391-9. http://www.echa.net/36-6%20UHM-P391-399.pdf
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- [k] Paul G. Harch, Susan R. Andrews, Edward F. Fogarty, Daniel Amen, John C. Pezzullo, Juliette Lucarini, Claire Aubrey, Derek V. Taylor, Paul K. Staab, and Keith W. Van Meter. A phase I study of low-pressure hyperbaric oxygen therapy for blast-induced post-concussion syndrome and post-traumatic stress disorder. J Neurotrauma. 2012 Jan 1;29(1):168-85. http://online.liebertpub.com/doi/pdf/10.1089/neu.2011.1895
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- 2. Peer-reviewed Israeli research on stroke and TBI, neurogenesis and angiogenesis
- [1] Hyperbaric Oxygen Therapy Can Improve Post Concussion Syndrome Years after Mild Traumatic Brain Injury Randomized Prospective Trial <a href="http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0079995">http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0079995</a>
- [2] Hyperbaric Oxygen Induces Late Neuroplasticity in Post Stroke Patients Randomized, Prospective Trial <a href="http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0053716">http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0053716</a>
- [3] Hyperbaric oxygen may induce angiogenesis in patients suffering from prolonged post-concussion syndrome due to traumatic brain injury. Restor Neurol Neurosci. 2015 Oct 7. <a href="http://www.ncbi.nlm.nih.gov/pubmed/26484702">http://www.ncbi.nlm.nih.gov/pubmed/26484702</a>
- [4] Hyperbaric oxygen can induce neuroplasticity and improve cognitive functions of patients suffering from anoxic brain damage. Restorative Neurology and Neuroscience 33 (2015) 471–486 http://www.ncbi.nlm.nih.gov/pubmed/26409406
- [5] Reflections on the neurotherapeutic effects of hyperbaric oxygen <a href="http://informahealthcare.com/doi/pdf/10.1586/14737175.2014.884928">http://informahealthcare.com/doi/pdf/10.1586/14737175.2014.884928</a>
- 3. Animal studies showing positive effects of HBOT on brain injury
- [a] Kent MacLaughlin, et al. The Effect of Intermittent Normobaric Hyperoxia on Stem Cell Mobilization and Cytokine Expression. Report of research conducted at University of Wisconsin

and reported at HBOT2018, Denver, CO, August 2018. Together these findings support the likelihood of biologic activity, consubstantial with HBOT, being activated at much lower dose of hyperoxia than previously postulated. The results demonstrate that the Army's and UHMS's claims that hyperbaric medicine only occurs at pressures higher than 1.4ata are fallacious. Any increase in oxygen concentration and/or pressure is a medical intervention.

[b] Blast Exposure Induces Post Traumatic Stress Disorder-Related Traits in a Rat Model of Mild Traumatic Brain Injury. Gregory A. Elder, Nathan P. Dorr, Rita De Gasperi, Miguel A. Gama Sosa, Michael C. Shaughness, Eric Maudlin-Jeronimo, Aaron A. Hall, Richard M. McCarron, and Stephen T. Ahlers. Journal of Neurotrauma.

http://online.liebertpub.com/doi/abs/10.1089/neu.2012.2510

- [c] Research Report: Hyperbaric oxygen therapy improves spatial learning and memory in a rat model of chronic traumatic brain injury. Paul G. Harch, Christopher Kriedt, Keith W. Van Meter, Robert James Sutherland, BRAIN RESEARCH 1174 (2007) 120-129. <a href="http://www.researchgate.net/publication/5971941">http://www.researchgate.net/publication/5971941</a> Hyperbaric oxygen therapy improves spatial learning and memory in a rat model of chronic traumatic brain injury
- [d] The effect of hyperbaric oxygen on intracephalic angiogenesis in rats with intracerebral hemorrhage. Peng ZR, Yang AL, Yang QD. J Neurol Sci. 2014 May2. <a href="http://www.ncbi.nlm.nih.gov/pubmed/24836574">http://www.ncbi.nlm.nih.gov/pubmed/24836574</a>
- [e] Kraitsy K, Uecal M, Grossauer S, Bruckmann L, Pfleger F, et al. (2014) Repetitive Long-Term Hyperbaric Oxygen Treatment (HBOT) Administered after Experimental Traumatic Brain Injury in Rats Induces Significant Remyelination and a Recovery of Sensorimotor Function. PLoS ONE 9(5): e97750.

http://www.plosone.org/article/info%3Adoi%2F10.1371%2Fjournal.pone.0097750

[f] Calvert, Zhou, Nanda, and Zhang. Effect of hyperbaric oxygen on apoptosis in neonatal hypoxia-ischemia rat model. J Appl Physiol 95: 2072–2080, July 21, 2003.

## 4. Expert Opinion

- [a] Richard A. Neubauer, M.D. and William S. Maxfield, M.D. The Polemics of Hyperbaric Medicine. Journal of American Physicians and Surgeons, Vol. 10 Number 1 Spring 2005, 15-17 www.jpands.org
- [b] Treatment for Posttraumatic Stress Disorder in Military and Veteran Populations: Final Assessment. The National Academies. The Institute of Medicine. Washington DC: The National Academies Press, 2014. <a href="https://bit.ly/2oYJ171">https://bit.ly/2oYJ171</a> Significant finding: "DoD and VA are spending substantial time, money, and effort on the management of PTSD in service members and veterans [\$9.3Billion+ through 2014]. Those efforts have resulted in a variety of programs and services for the prevention and diagnosis of, treatment for, rehabilitation of, and research on PTSD and its comorbidities. Nevertheless, neither department knows with certainty whether

those many programs and services are actually successful in reducing the prevalence of PTSD in service members or veterans and in improving their lives."

- [c] "What the \*Bleep\* is going on with Hyperbaric Oxygen Therapy? Brain Health and Healing Foundation. Xavier Figueroa. PhD has been performing neurological clinical research since 1995 in the field of Alzheimer's research, as well as basic research in neuron biology, cancer research, bioengineering and the biophysics of water in cells. He has a long history of involvement with research using hyperbaric oxygen therapy for brain injury.
- \*\* http://brainjury.org/blog/2014/05/01/what-the-bleep-is-going-on-with-hyperbaric-oxygen-therapy/
- \*\*http://brainjury.org/blog/2014/07/03/what-the-bleep-is-wrong-with-the-dodva-hbot-studies/
- \*\*http://brainjury.org/blog/2014/11/23/what-the-is-going-on-with-hyperbaric-oxygen-therapy-part-3/
- [d] UHM 2012, Vol. 39, No. 4 How many deaths will it take? AN EDITORIAL PERSPECTIVE. Undersea & Hyperbaric Medical Society, Inc. *How many deaths will it take till they know?* Monkeys, madmen and the standard of evidence. George Mychaskiw II, DO, FAAP, FACOP, Editor-in-Chief Chair, Department of Anesthesiology, Nemours Children's Hospital, Orlando, Florida USA. The Journal of Hyperbaric Medicine is the most prestigious journal on Hyperbaric Medicine in the world. . . . . . . "Hyperbaric oxygen is a safe, easily used treatment that, in many cases, has resulted in a dramatic improvement in the symptoms of patients with [TBI]. Every day we are.... gathering more data validating its efficacy.... I feel, as do many of my colleagues, that there is sufficient clinical and research evidence to justify the use of [HBOT] as a standard-of-care treatment for [TBI] that should be reimbursed by CMS and Tricare.... I have no doubt that, over the next several years, [HBOT] will be proven beyond a reasonable doubt to be one of the most effective treatments for [TBI].... There is a preponderance of evidence now to justify the use and funding for the treatment...."
- http://www.therapiehyperbare.com/images/hyperbare/2012-06 uhms editorial.pdf
- [e] Chamber of Hopes for Brain Repair. Eshel Ben-Jacob , PhD. January, 27, 2013. http://www.assafh.org/sites/en/Documents/Chamber%20of%20Hopes%20for%20Brain%20Repair.pdf
- [f] Hyperbaric oxygen in chronic traumatic brain injury: oxygen, pressure, and gene therapy. Paul G. Harch. Medical Gas Research (2015) 5:9 DOI 10.1186/s13618-015-0030-6
- [g] Hyperbaric Oxygen Therapy for Brain Injury, Cerebral Palsy, and Stroke. Summary, Evidence Report/Technology Assessment: Number 85. AHRQ Publication Number 03-E049, September 2003. Agency for Healthcare Research and Quality, Rockville, MD. <a href="http://www.ahrq.gov/clinic/epcsums/hypoxsum.htm">http://www.ahrq.gov/clinic/epcsums/hypoxsum.htm</a>
- [h] Samueli Institute. "Is Hyperbaric Oxygen Therapy Effective for Traumatic Brain Injury? Preliminary Report." Prepared for the Hyperbaric Oxygen Research Program, USAMRMC, USAMMDA. February 18, 2015.

"Results showed that both the HBO and sham procedures were associated with significant improvements in post-concussion symptoms and secondary outcomes, including PTSD (which most participants had), depression, sleep quality, satisfaction with life, and physical, cognitive, and mental health functioning. ... these results are consistent with 2 other sham-controlled clinical trials among service members and veterans involving a range of HBOT doses. . . " The most remarkable lesson of this study was the difference in clinical outcomes between the 2 chamber procedures (HBO 1.5 ATA and 'sham' air 1.3 ATA) and routine post-concussion care....These findings reinforce the argument that effective interventions [i.e., the current standard of care practiced by military medicine] do not yet exist within the present structure of care or that routine post-concussion interventions within the [DOD or VHA] may even have iatrogenic effects that contribute to symptom persistence, the equivalent of a negative placebo (nocebo) effect."

[i] Hoge CW, Jonas WB. The ritual of hyperbaric oxygen and lessons for the treatment of persistent postconcussion v symptoms in military personnel. JAMA Intern Med 2014; 175:53–54.

[j] Muhkerjee A, Raison M, Sahani T, et al. Intensive rehabilitation combined with HBO2 therapy in children with cerebral palsy: A longitudinal study. Undersea Hyperb Med 2014;41:77-83. but the most dramatic confirmation of the importance of a modest increase of air pressure comes from experience at high altitude. All high altitude climbers know that both pulmonary and neurological symptoms are improved, indeed usually resolved, by the increase in air pressure produced by a descent. During WW2 a pressure bag was used to great effect in treating altitude sickness in experiments conducted in a B24 Liberator - the forerunner of the portable hyperbaric chambers now used by high altitude climbers and the US Army Special Operations Command. As a small increase in air pressure can resolve a mountaineer's lifethreatening pulmonary and cerebral oedema, the subtle residual problems that follow concussion will surely benefit from hyperbaric air treatment. The pressure needed can easily be achieved by pressurising a commercial aircraft on the ground: a Boeing 747 would allow hundreds of service men to be treated at a time at minimal cost. Few medical professionals outside of aviation, space and underwater medicine understand the importance of barometric pressure. The use of hyperbaric oxygen treatment must be included in the curricula of our medical schools: we have no substitute for the gas. Philip B James MB ChB DIH PhD FFOM, Emeritus Professor of Medicine, University of Dundee, Nethergate, Dundee DD1 4HN

## 5. Data from DoD/Army studies, with responses

Summary of positive findings in Army Studies: Army medicine has run trials investigating the use of Hyperbaric Oxygen to treat and help heal Traumatic Brain Injury. They have shown that HBOT is both safe and effective: "Randomization to the chamber . . . . offered statistical and in some measures clinically significant improvement over local routine TBI care." Also: ".... total scores for [both] groups revealed significant improvement over the course of the study for both the sham-control group .... and the HBO2 group....." Expert outside consultants to DOD declared that "[HBOT] is a healing environment." The Army's premier researcher, Dr. Scott Miller, says on the Veterans Affairs web site: "People did get better and we can't ignore those results."

[1] A randomized trial of hyperbaric oxygen in U.S. Service Members with post-concussive symptoms [BIMA]. Weaver et al. 2017. http://bit.ly/2x6d2EN

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- [5] Paul G. Harch, MD. Letters to the Editor. Journal of Neurotrauma. Hyperbaric Oxygen Therapy for Post-Concussion Syndrome: Contradictory Conclusions From a Study Mischaracterized as Sham-Controlled. 2014 http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3837504/
- [6] Cifu DX, Hart BB, West SL, Walker W, Carne W. The Effect of Hyperbaric Oxygen on Persistent Postconcussion Symptoms. J Head Trauma Rehabil. 2013. (DoD) (USA) <a href="http://journals.lww.com/headtraumarehab/Fulltext/2014/01000/The Effect of Hyperbaric Oxygen on Persistent.2.aspx">http://journals.lww.com/headtraumarehab/Fulltext/2014/01000/The Effect of Hyperbaric Oxygen on Persistent.2.aspx</a>
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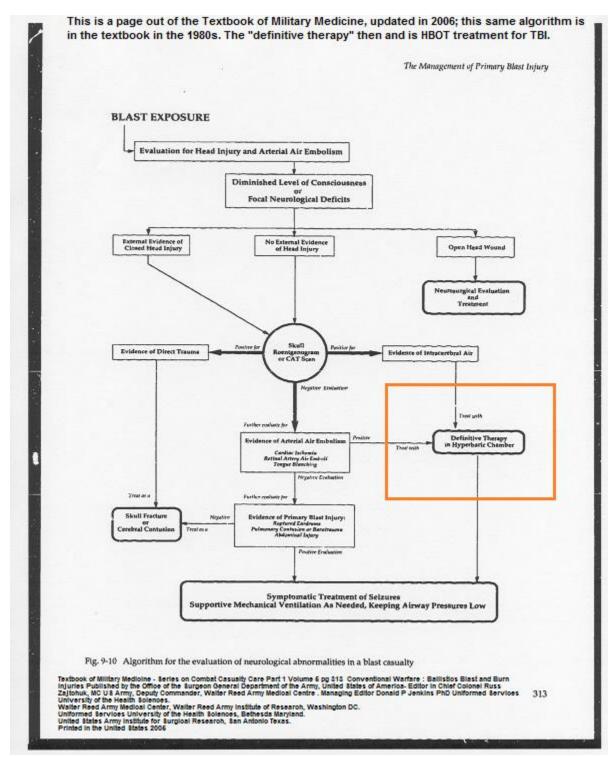
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# **ADDENDA to Extended Bibliography**



Blast-related traumatic brain injury. Jeffrey V Rosenfeld, et al *Lancet Neurol* 2013; 12: 882–93 July 22, 2013 http://dx.doi.org/10.1016/S1474-4422(13)70161-3

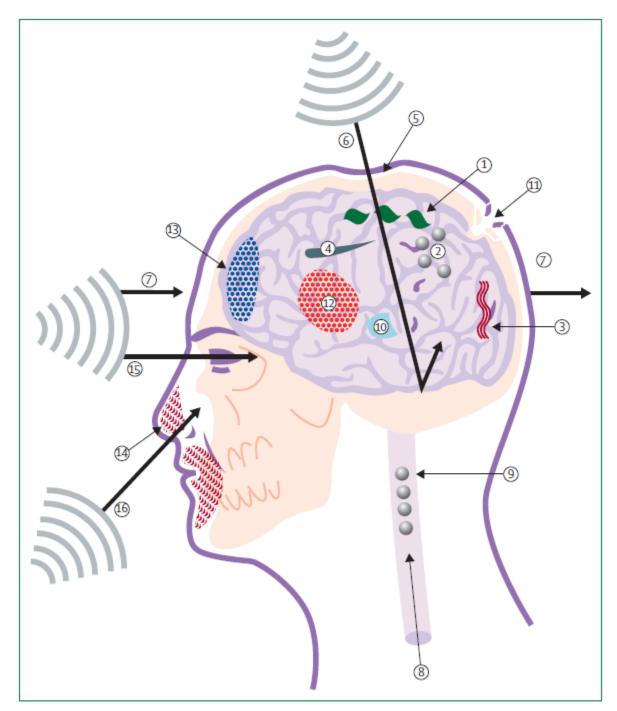


Figure 1: Schematic diagram of the mechanisms of blast-related traumatic brain injury

Figure shows local effects (1-7) and systemic effects (8, 9) of primary blast injury, secondary blast injury (10-12), tertiary blast injury (13), quaternary blast injury (14), and portals for blast wave transmission to the brain (15, 16). (1) Acoustic impedance mismatch causes spallation. (2) Shock-bubble interaction. (3) Shear stress causing diffuse axonal injury. (4) Cavitation. (5) Skull deformation with elastic rebound. (6) Reflection of the blast wave within the skull. (7) Bobblehead effect of acceleration-deceleration. (8) Blood surge from the torso damages the microvasculature. (9) Air embolism from blast lung injury.(10) Penetrating fragments. (11) Compound fractured skull. (12) Intracerebral haemorrhage. (13) Contrecoup contusion. (14) Burns. (15) Blast wave transmitted through the orbits. (16) Blast wave transmitted through the nasal sinuses.